



07-20-01

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Practitioner's Docket No. 892\_019

PATENT

## NEW APPLICATION TRANSMITTAL

Jc971 U.S. PTO  
09/0908945  
07/19/01

Box Patent Application  
Assistant Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on July 19, 2001 under "EXPRESS MAIL" mailing label number EL 803164305 US.

Gina M. Husak

Transmitted herewith for filing is the patent application of:

Inventor 1: Akira WAKABAYASHI  
Address: c/o Tsubasa System Co., Ltd.  
25-14, Kameido 2-chome, Koutou-ku  
Tokyo, JAPAN

**Title:** ONLINE TRADING SYSTEM

**1. Papers enclosed****A. Required for filing date under 37 CFR 1.53(b) (Regular) are:**

21 Page(s) of Specification  
4 Page(s) of Claims  
1 Page(s) of Abstract  
4 Sheets of Formal Drawings (Figs. 1-4)

**B. Other Papers Enclosed**

A Patent Application Bibliographic Data  
 A Preliminary Amendment  
 A combined Declaration and Power of Attorney  
 A Claim for Priority and a certified copy of 2000-233266 filed August 1, 2000 in Japan  
 An Information Disclosure Statement  
 PTO Form-1449  
 An Assignment Transmittal and Assignment of the invention to  
Tsubasa System Co., Ltd.

**2. The correspondence address for this application is:**

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**3. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)**

Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

#### 4. Small Entity Status

Applicant(s) claim(s) small entity status. See 37 CFR § 1.27.

#### 5. The filing fee has been calculated as shown below:

A. Filing Fee	
<input checked="" type="checkbox"/> Original Patent Application (37 C.F.R. 1.16(a)–\$710.00, small entity–\$355.00)	\$ 710.00
B. Fees for Claims (9 Claims; 3 Indep.)	
<input type="checkbox"/> each independent claim in excess of 3 (37 C.F.R. 1.16(b)–\$80.00, small entity–\$40.00)	\$ .00
<input type="checkbox"/> each claim in excess of 20 (37 C.F.R. 1.16(c)–\$18.00, small entity–\$9.00)	\$ .00
<input type="checkbox"/> multiple dependent claim(s) (37 C.F.R. 1.16(d)–\$270.00, small entity–\$135.00)	\$ .00
C. <input checked="" type="checkbox"/> Assignment Fee	\$ 40.00
<b>Total Fees Due</b>	<b>\$ 750.00</b>

#### 6. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment

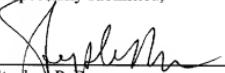
A check in the amount of **\$750.00** is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.

Any additional filing fees required under 37 CFR 1.16.

Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
Stephen P. Burr  
Reg. No. 32,970

July 19, 2001

Date

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